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(71) Applicant: THE STACKPOLE CORPORATION [US/US]; 133 Federal Street, Boston, MA 02110 (US).

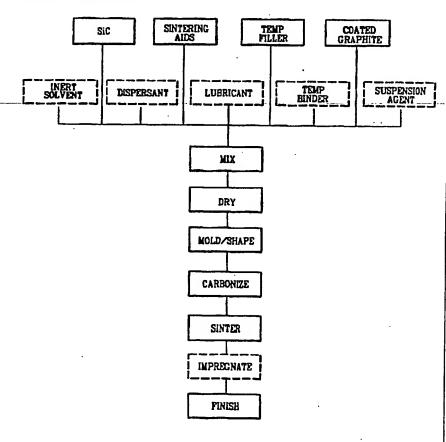
(72) Inventor: PFAFF, Mark, E.; 129 Edgewood Road, St. Marys, PA 15857 (US).

(74) Agents: FEHER, Eugene, A. et al.; Weingarten, Schurgin, Gagnebin & Hayes, Ten Post Office Square, Boston, MA 02109 (US).

(54) Title: SELF-SINTERED SILICON CARBIDE/CARBON COMPOSITE

(57) Abstract

A self-sintered silicon carbide/carbongraphite composite material interconnected pores which may be impregnated, and a raw batch and process for producing the composite material, is provided. The composite material comprises a densified, self-sintered matrix of silicon carbide, carbon-graphite inclusions and small amounts of any residual sintering aids, such as boron and free carbon. and has interconnected pores which may be impregnated with resin, carbon, TEFLONTM, metal or other compounds or materials selected for their particular properties to achieve desired tribological characteristics for a specific application. The composite material is produced from a raw batch which includes silicon carbide, sintering aids, a temporary filler and coated graphite particles. The raw batch is then molded/shaped into a green body and heated to carbonize any carbonizable materials and to decompose and volatilize the organic filler to form a matrix of interconnected pores. The green body is pressureless sintered to densify the matrix. The interconnected pores may then be impregnated with a resin, carbon, metal, TEFLONTM, compound or other material selected for desired tribological characteristics.



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- 1 -

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# SELF-SINTERED SILICON CARBIDE/CARBON COMPOSITE

## FIELD OF THE INVENTION

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This invention relates to the field of self-sintered silicon carbide/graphite composite materials and more particularly to self-sintered silicon carbide/carbon-graphite composite materials having interconnected pores which may be impregnated.

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## BACKGROUND OF THE INVENTION

Silicon carbide densified by self-sintering, also called pressureless sintering, is very hard, has good corrosion and abrasion resistance and high thermal conductivity. Selfsilicon carbide is used for sliding face applications, such as pump seals and bearings, environments where such seals and bearings are exposed to acidic, caustic, corrosive or abrasive substances. However, self-sintered silicon carbide is not self-lubricating. Thus, if self-sintered silicon carbide is used in a seal or bearing face which runs against a face of another hard, non-selflubricating material, such as self-sintered or reactionbonded silicon carbide, the seal or bearing faces must be exposed to a lubricating fluid or used in fluid applications, such as in liquid pumps. The fluid provides a film between the sliding faces which lubricates the surfaces, reduces friction and prevents failure.

Self-sintered silicon carbide, when run against other hard, non-self-lubricating materials, is vulnerable to catastrophic failure when exposed to rapid temperature changes or if an insufficient amount of lubricating fluid is present, such as under upset conditions in pump applications when a pump accidently runs dry or if the pump is energized prior to connection of the fluid stream. Insufficient lubrication can cause the self-sintered silicon carbide to explode in the most severe conditions. Further, self-

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- 2 -

sintered silicon carbide used in sliding face applications against other non-self-lubricating materials exhibits high wear rates when under demanding conditions such as high speed and contact pressure.

Porous self-sintered silicon carbide also is not self lubricating, and has the disadvantages of self-sintered silicon carbide discussed above. Although, the surface pores of porous self-sintered silicon carbide can help the material to retain some lubricating fluid during use, the materials cannot be run dry. Thus, like self-sintered silicon carbide, porous self-sintered silicon carbide must be used in fluid applications where a lubricating fluid is provided.

Further, because the pores of porous self-sintered silicon carbide are not interconnected, porous self-sintered silicon carbide cannot be impregnated with resin, carbon, TEFLON, metals or other compounds or materials.

Siliconized graphite, i.e., graphite siliconized by chemical vapor reaction (CVR), has some self-lubricating properties and has good wear characteristics compared to self-sintered silicon carbide and other silicon carbide composites. Siliconized graphite is produced from specially formulated graphite which is reacted with silicon monoxide (SiO) vapor. The chemical vapor reaction produces a silicon carbide layer (typically 40 mm thick) on an underlying graphite substrate. The silicon carbide surface layer typically has a microstructure of graphite inclusions and interconnected pores throughout the surface layer. lubricating substance can be impregnated in such pores to produce a self-lubricating material suitable for bearings and seals having a degree of survivability in dry-running conditions.

Siliconized graphite, however, has significant drawbacks. Because the self-lubricating silicon carbide structure is a thin surface layer, seals, bearings and other sliding face products made from siliconized graphite cannot be lapped, polished or repaired by a customer without risking

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- 3 -

penetration of the silicon carbide layer into the relatively soft graphite substrate. Also, the silicon carbide layer is prone to cracking, delamination and other defects. Further, siliconized graphite is very difficult to impregnate with carbon. Because the silicon carbide surface layer is thin, very porous and bonded to a relatively soft graphite substrate, the silicon carbide layer is weak. silicon carbide layer is impregnated with a carbon precursor, resin, and the precursor is carbonized. volatilization of the precursor usually causes the silicon carbide layer to crack, delaminate, break apart, or in some cases explode.

### SUMMARY OF THE INVENTION

The present invention, which overcomes the shortcomings of the prior materials used for sliding face applications, includes a self-sintered silicon carbide/carbon graphite composite material having interconnected pores which may be impregnated with resin, carbon, TEFLON, metal or other compounds or materials selected to achieve desired tribological characteristics. The present invention also includes a raw batch and process for producing the composite material of the present invention.

The composite material of the present invention comprises a self-sintered, densified matrix of silicon carbide, carbon/graphite inclusions and small amounts of any residual sintering aids, such as boron and free carbon, and has interconnected pores, which may be impregnated with carbon, resin, metal, such as nickel, silver or antimony, TEFLON, or other compounds or materials selected for their particular properties to achieve desired tribological characteristics for a specific application.

The composite material of the present invention has wear resistance superior to conventional and porous self-sintered silicon carbide, in that the material of the present invention can run against itself or other hard, non-self-

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- 4 -

lubricating materials in sliding face applications, such as for mechanical seals and pump bearings, at 200,000 psi-fpm PV or higher. The composite material of the present invention also has thermal shock resistance superior to conventional and porous self-sintered silicon carbide. In catastrophic failure or dry run conditions, such as when fluid is lost in pump applications, seals and bearings made of the material of the present invention have survivability and can maintain their integrity even at 400,000 psi-fpm PV, while seals and bearings made of self-sintered silicon carbide fail in seconds.

Further, because the composite material of the present invention has the same microstructure throughout the material, the material can be lapped, polished or repaired. The single microstructure of the material of the present invention also gives it a structural integrity and useful life superior to materials having surface layers, such as siliconized graphite.

The interconnecting porosity of the material of the present invention allows for impregnation of the material and tailoring of the tribological and other properties of the material for specific applications. The pores can be impregnated with a wide variety of metals, resins, carbon or other compounds or materials to produce a family of materials with different tribological characteristics for different applications. If the material of the present invention is to be used in sealing applications, the interconnected pores must be sufficiently impregnated to seal the material and prevent leaks.

Impregnation of the pores with such materials also provides further advantages. Impregnation of favorable tribological materials improves the mechanical properties, especially the wear and thermal shock resistance, of the present invention material over prior self-sintered silicon carbide materials, including porous self-sintered silicon carbide. Further, impregnation with a tough material, such

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as nickel or another metal, imparts a toughness to the present invention material not found in prior self-sintered silicon carbide materials, which are notorious for their brittleness.

The composite material of the present invention is produced from a raw batch which includes silicon carbide, sintering aids, a temporary filler and coated graphite particles. The raw batch is then molded/shaped into a green body and heated to carbonize any carbonizable materials and to decompose and volatilize the temporary filler to form a matrix having interconnected pores. The green body is then pressureless sintered to densify the matrix. interconnected pores can then be impregnated with resin, carbon, metal, TEFLON or other compounds or materials selected for the desired tribological characteristics.

### BRIEF DESCRIPTION OF THE DRAWINGS

The present invention will be more fully understood from the following detailed description considered in conjunction with the accompanying drawings, in which:

Fig. 1 is a schematic illustration of the process for producing a self-sintered silicon carbide/carbon-graphite composite material having impregnated interconnecting pores according to the present invention;

Figs. 2A and 2B are photomicrographs, taken at 50X and 200X respectively, of a self-sintered silicon carbide/carbon-graphite composite material having impregnated interconnecting pores according to the present invention;

Figs. 3A, 3B and 3C are photomicrographs, taken at 50X, 200X and 400X respectively, of a further self-sintered silicon carbide/carbon-graphite composite material having impregnated interconnecting pores according to the present invention;

Figs. 4A and 4B are photomicrographs, taken at 12.5X, of opposing seal faces taken after wear testing involving a

- 6 -

material of the present invention and reaction-bonded silicon carbide;

Figs. 5A, 5B, 5C, 5D and 5E are photomicrographs of seal faces made of a material of the present invention taken before and after stages in dry run testing; and

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Figs. 6A, 6B, 6C and 6D are photomicrographs, taken at 14X, of the opposing seal faces before and after catastrophic fluid loss, in dry run testing involving a material of the present invention and conventional self-sintered silicon carbide.

## DETAILED DESCRIPTION OF THE INVENTION

The present invention includes a self-sintered silicon carbide/carbon-graphite composite material interconnected pores which may be impregnated. The composite material comprises a densified self-sintered matrix of approximately 50 to 95% by weight silicon approximately 5.0 to 50% by weight carbon-graphite inclusions dispersed throughout the matrix and small amounts, approximately 0.3 or less to 5.0% by weight, of any residual sintering aids, such as aluminum, beryllium oxide, boron, boron carbide and/or free carbon. The composite material of the present invention has a microstructure of interconnected pores which may be impregnated with carbon, resin, TEFLON, metal or other compounds or materials selected to achieve desired tribological characteristics for specific application.

The matrix, which is self-sintered, or pressureless-sintered, typically has a density of at least approximately 2.20 gm/cc, or 73% of the theoretical density as determined by the rule of mixtures (before any impregnation of the interconnecting pores). The silicon carbide can be of the alpha form, the beta form or a combination of forms.

The carbon-graphite inclusions are distributed throughout the silicon carbide of the matrix and comprise particles of graphite coated with carbon. In the preferred

PCT/US95/00681

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embodiment, the average grain size of the carbon-graphite inclusions is greater than the average grain size of the silicon carbide. For example, in the preferred embodiment, the silicon carbide has an average grain size between approximately 10 and 25  $\mu$ m, and the carbon-graphite inclusions have an average grain size between approximately 75 and 125  $\mu$ m.

In one embodiment, the interconnected pores of the matrix are impregnated with resin, carbon, metal, such as nickel, silver or antimony, TEFLON, petroleum pitch, coal tar other compounds or materials. The exact pitch, or composition and density of the final composite material depends upon the material used to impregnate the pores. the preferred embodiment, in which the pores are impregnated with phenolic resin which is carbonized to form carbon, the final composite material typically comprises a densified self-sintered matrix of approximately 65 to 80% by weight silicon carbide, approximately 10 to 18% by weight carboncoated graphite inclusions dispersed throughout the matrix and small amounts, approximately 0.3 to 3.0 % by weight of boron and free carbon as residual sintering aids. The matrix has a microstructure of interconnected pores impregnated with approximately 10 to 17% by weight carbon. Typically, the final composite material of the preferred embodiment has a density between approximately 2.55 and 2.65 gm/cc.

The process for producing a self-sintered composite material of the present invention is shown schematically in Fig. 1. The composite body is produced from a raw batch of silicon carbide, sintering aids, temporary filler to provide the interconnected pores, and coated graphite for providing the inclusions of carbon-coated graphite particles in the sintered matrix. Process aids, such as temporary binders and/or lubricants, can also be included in the raw batch to facilitate the molding or shaping of the raw batch into a green body or to facilitate other processing steps.

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- 8 -

The raw batch is produced from fine-grained, high purity silicon carbide powder. Preferably, at least 0.5%  $\alpha$ -silicon carbide relative to  $\beta$ -silicon carbide is used. However, any combination of the forms of silicon carbide may be used. Typically, approximately 50 to 90% by weight (of the total raw batch) of silicon carbide is dispersed in an inert solvent, such as water, to form a slurry which aids complete mixing of the raw batch ingredients during preparation. Other mixing aids, such as a dispersant, for example, ammonium polymethacrylate, or a suspension agent, such as xanthan gum, may also be added.

Sintering aids, such as aluminum, beryllium oxide, a boron source and/or a carbon source, are added to the slurry and mixed thoroughly to ensure complete dispersion. Such boron sources include elemental boron and boron carbide  $(B_4C)$ , and such carbon sources include elemental carbon or a carbonizable organic material, such as phenolic resin, petroleum pitch, coal tar pitch, furfuryl alcohol or sugar. Typically, approximately 2.0 to 20% by weight (of the total raw batch) of the sintering aids are added to the slurry.

A temporary filler is also added to the slurry and thoroughly mixed for good dispersion. Typically, approximately 0.75 to 15.0% (of the total raw batch) of the temporary filler is added to the slurry. The temporary filler can be any particulate material which will decompose volatilize out of the mixture at or below the carbonization temperature of the mixture to leave a matrix of interconnected pores. Typically, the temporary filler comprises particles of an organic material, such as ground nutshells, wax particles or polypropylene beads. In certain circumstances, for example when the temporary filler is volatilized in a vacuum at very high temperatures (at or above the melting point of the filler), an inorganic material such as ceramic beads might also be used. Preferably, the temporary filler has an average grain size approximately 10 and 45  $\mu$ m.

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- 9 -

Another component of the raw batch is coated graphite particles, which are added to the slurry and thoroughly The coated graphite particles are coarse graphite particles coated with a carbon precursor, such as phenolic resin, or another resin or material which will decompose upon heating to leave a carbon residue. Such other materials include furfuryl alcohol, polyester, petroleum pitch, coal tar pitch, or mixtures of these and other materials. Typically, the coating is dissolved in a suitable solvent and mixed thoroughly with the graphite to bond the graphite The mixture is then dried to evaporate the particles. solvent and crushed to obtain the coated graphite particles of a desired size. Typically, the coated graphite particles are passed through a 65 mesh sieve to obtain particles of less than 212  $\mu$ m. The coated graphite particles are then added to the silicon carbide slurry. Typically, approximately at least 5.0 to 50%, and preferably approximately 10 to 20%, by weight (of the total raw batch) of the coated graphite particles are added to the slurry. But any amount of such particles is helpful in improving the self-lubricating properties of the final composite material.

aids, including temporary lubricants, for example oleic acid or any other fatty acid, can be added to the slurry. The addition of a suspension or viscosity agent is particularly helpful if the coated graphite particles are large. For example, if xanthan gum is added to the slurry and mixed, it causes the slurry to coagulate which holds the coated graphite particles in suspension, allowing uniform dispersion. Some materials added to the raw batch can perform multiple functions. example, phenolic resin can function in the raw batch as both carbon source sintering aid and a temporary binder. Polyethylene glycol can function as both a temporary binder and a die lubricant.

The inert solvent, temporary filler, temporary binder, dispersant, lubricant and suspension agent are all temporary

- 10 -

additives which do not form a part of the final composite material.

The resulting slurry is mixed thoroughly to ensure sufficient dispersion and dried. Typically, the slurry is spray dried to form spherical agglomerates. Other suitable methods for obtaining such granules, such as pan drying followed by crushing and sieving, may be employed.

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A body made of the composite material of the present invention is produced by then molding or shaping a measured amount of the raw batch into a green body. Conventional methods of molding and shaping can be employed, such as isostatic or die pressing. Preferably, the raw batch is pressed at pressures ranging between approximately 3 and 9 tons/in² to obtain a green density of approximately 1.60 to 1.90 gm/cc.

The green body is then exposed to the appropriate environment, such as heat, to cure any temporary binders included in the raw batch. The molding and shaping of the green body can also include machining the body to a desired pre-finished shape.

The shaped green body is then carbonized in a non-oxidizing atmosphere at a temperature typically above approximately 800°F. The carbonization step carbonizes any carbonizable carbon source used as a sintering aid and decomposes and volatilizes the temporary filler, which leaves the matrix of interconnected pores. The carbonization step also carbonizes the resin of the resin-coated graphite particles and volatilizes any residue of any dispersant, lubricant or suspension agent of the raw batch.

In a typical carbonization cycle, the green body is heated in an oven from room temperature to 350°F during a half hour and allowed to soak at that temperature for another half hour. The temperature is then raised to 850°F over a period of 10 hours and held at 850°F for five hours to carbonize the body. The body is then cooled to room temperature. Other carbonization cycles may be used.

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- 11 -

The carbonized green body is then pressureless sintered typically at a temperature ranging from approximately 1900 to 2500°C in a substantially inert atmosphere at or below atmospheric pressure to densify the matrix. Preferably, the matrix is sintered to a density between approximately 2.10 and 2.60 gm/cc or 12 to 15% shrinkage. In a typical sintering cycle, the temperature is raised to the sintering temperature over an eight hour period, the furnace is held at the peak temperature for one hour, and then allowed to cool to room temperature. The actual times depend upon the particular furnace used. Other suitable sintering cycles may The carbonization cycle and sintering also be employed. cycle may be carried out in the same furnace in sequential cycles.

In the preferred embodiment, the sintered body typically comprises a densified, self-sintered matrix of approximately 80 to 90% by weight silicon carbide, approximately 10 to 20% by weight carbon-coated graphite inclusions dispersed throughout the matrix, and small amounts, approximately 0.3 to 3.0% by weight, of boron and carbon as residual sintering aids. The matrix has a microstructure of interconnected pores, and the sintered body has approximately 3 to 12% absorption, or approximately 8 to 30% porosity.

The sintered body can then be impregnated with carbon, resin, metal, such as nickel, silver or antimony, TEFLON or other compounds or materials selected for their self-lubricating properties to achieve desired tribological characteristics for a specific application. If a resin is used as a carbon precursor to impregnate the interconnected pores with carbon, the sintered body must once again be heated to carbonize the resin. If the sintered body is to be used in sealing applications, the interconnected pores must be sufficiently impregnated to seal the body and prevent leaks.

- 12 -

Finally, if necessary, the impregnated sintered body can be finished by grinding, diamond polishing or any other finishing method.

### **EXAMPLE**

The preferred embodiment of the present invention, which is described in this Example, was prepared from a raw batch of mostly beta silicon carbide, some alpha silicon carbide, boron carbide ( $B_4C$ ), phenolic resin, polyethylene glycol, polypropylene beads, xanthan gum and phenolic resin-coated

graphite particles.

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In the preferred embodiment, 100 parts (69.0% by weight of the total raw batch) of B-silicon carbide having a BET specific surface area of approximately  $13.5-18.5 \text{ m}^2/\text{g}$  and a particle size below approximately 2.40  $\mu$ m, and one part (0.7%) by weight of the total raw batch) of B4C as a water slurry were stirred for one half hour. Superior Graphite HSC-059(s), which is a premixed slurry of B-silicon carbide and  $B_4C$  in water, was used. Four parts (2.8% by weight of the total raw batch) of  $\alpha$ -carbide, LONZA CARBOGRAN UF-10, having a BET surface area of approximately  $9.0-11.0 \text{ m}^2/\text{g}$  and a particle size below approximately 3.0  $\mu$ m, which had been dispersed in deionized water with 0.03 parts (0.02% by weight of the total raw batch) of ammonium polymethacrylate (DARVAN C) dispersant and ball milled, were then added to the slurry while the stirring continued.

While stirring, five parts (3.4% by weight of the total raw batch) of phenolic resin pre-dispersed in an equal amount of de-ionized water were added. The phenolic resin, which is a known binder and has a char yield of approximately 50%, is a carbon source and functioned as both a sintering aid and a temporary binder.

Seven parts (4.8% by weight of the total raw batch) of modified polyethylene glycol, PEG Compound 20 M made by Union Carbide, was premixed with water as a solution of 33% polyethylene glycol and 67% water. The polyethylene glycol

PCT/US95/00681

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solution was then added to the raw batch while the stirring continued. The polyethylene glycol functioned in the raw batch as both a temporary binder and as a lubricant for the subsequent molding and shaping step.

Eleven parts (7.6% by weight of the total raw batch) of PROPYLTEX 325 S polypropylene beads made by Micro Powder, Inc. were slowly added to the slurry while the stirring continued. The polypropylene beads, which were all under 44  $\mu$ m and had a mean particle size of 11-15  $\mu$ m, functioned as the temporary filler used to create the interconnected pores of the matrix of the final body.

While stirring, one part (0.7% by weight of the total raw batch) of dispersible xanthan gum was added as a suspension agent. The mixture was then stirred for one hour to thoroughly mix the ingredients and to coagulate the slurry.

Sixteen parts (11.0% by weight of the total raw batch) of resin-coated graphite particles, which functioned as the coated graphite particles, were then added to the mixture while the stirring continued. The resin-coated graphite particles were prepared from a mixture of 80% by weight coarse graphite, LONZA KS-150, having a particle size below approximately 180  $\mu\text{m}$ , and an average particle size of approximately 65  $\mu\text{m}$ , and 20% by weight DURITE RD-2414 phenolic resin in powdered form which had been dissolved in acetone. The phenolic resin/acetone/graphite mixture was mixed thoroughly to bond the resin to the graphite. The mixture was then dried to evaporate the acetone, crushed and sieved to obtain resin-coated graphite particles having an average size of 100  $\mu\text{m}$ .

After the resin-coated particles were added to the slurry, the resultant slurry was stirred for a minimum of one hour, spray dried and screened through a 35 mesh.

A measured amount of the raw batch was then isostatic pressed into a shaped green body to a green density of approximately 1.90 gm/cc (approximately 9 tons/in<sup>2</sup> pressure).

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- 14 -

The binders were then cured at 250°F for 5 hours and the green body was pre-machined to a desired pre-finished shape.

The green body was then heated to 850°F for 5 hours to carbonize the phenolic resin and to decompose and volatilize the polypropylene beads to form the matrix of interconnected pores. The carbonization cycle also decomposed and volatilized any residual amounts of the polyethylene glycol and xanthan gum used as temporary binder, lubricant and suspension agent.

The green body was then pressureless sintered to a density of approximately 2.40 gm/cc or 12% shrinkage at a 2190°C peak temperature in Argon at approximately two-thirds atmosphere for approximately one hour. The resulting sintered body comprised a densified, self-sintered matrix of approximately 85% by weight silicon carbide, approximately 13% by weight carbon-coated graphite inclusions dispersed throughout the matrix and small amounts, approximately 2.0% by weight, of boron and carbon as residual sintering aids. The silicon carbide had an average grain size between approximately and the carbon-graphite 10 and 25 μm, inclusions had an average grain size between approximately 75 and 125  $\mu$ m. The resulting sintered body had approximately 8% absorption, or approximately 19% porosity.

The interconnected pores of the densified matrix body were then impregnated with phenolic resin, which was cured at 350° at approximately 85-110 psig for 6 hours. The impregnated phenolic resin was then carbonized very slowly for 48 hours at a peak temperature of 850°F. For some batches, if necessary, the body was impregnated a second time with phenolic resin, which was cured and carbonized, to ensure that the final composite body was leak proof.

The body was then finished by grinding and diamond polishing.

The composite material, shown in Figs. 2A and 2B, throughout the body comprised a densified self-sintered matrix of approximately 73% by weight silicon carbide

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- 15 -

approximately 11% by weight carbon-coated graphite inclusions dispersed throughout the matrix and small amounts, approximately 2% by weight, of boron and free carbon as residual sintering aids. The silicon carbide had an average grain size between approximately 10 and 25  $\mu$ m, and the carbon-graphite inclusions had an average grain size between approximately 75 and 125  $\mu$ m.

The densified matrix had a microstructure of interconnected pores impregnated with approximately 14% by weight carbon. The interconnected pores, which contained carbon carbonized from the impregnated phenolic resin, had an average size of approximately  $13\mu\text{m}$ . The final body had a density of between approximately 2.55 and 2.65 gm/cc or 88 to 92% of the theoretical density as determined by the rule of mixtures.

#### EXAMPLE

The body of this example of the present invention was prepared in a manner identical to the process described for the previous example, except that in place of the combination of beta and alpha silicon carbide used in the previous example, alpha silicon carbide was used for this example. First, 10 lbs. of  $\alpha$ -silicon carbide, LONZA CARBOGRAN UF-10, having a BET surface area of approximately 9.0-11.0 m²/g and a particle size below approximately 3.0  $\mu$ m, was mixed with 15 lbs of deionized water and 10 gms. of DARVAN C dispersant to form a slurry. The slurry was ball milled for two hours.

One-hundred four parts (71.8% by weight of the total raw batch) of  $\alpha$ -silicon carbide in the water slurry was then mixed with one part (0.7% by weight of the total raw batch) of B<sub>4</sub>C and stirred for one half hour. The processing then continued as described above for the previous example.

The sintered body before impregnation comprised a densified, self-sintered matrix of approximately 85% by weight silicon carbide, approximately 13% by weight carbon-coated graphite inclusions dispersed throughout the matrix

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- 16 -

and small amounts, approximately 2.0% by weight, of boron and carbon as residual sintering aids. The silicon carbide had an average grain size between approximately 10 and 25  $\mu$ m, and the carbon-graphite inclusions had an average grain size between approximately 75 and 125  $\mu$ m.

After impregnation, the composite material, shown in Figs. 3A, 3B and 3C, throughout the body of this Example comprised a densified self-sintered matrix of approximately 73% by weight silicon carbide, approximately 11% by weight carbon-coated graphite inclusions dispersed throughout the matrix and small amounts, approximately 2% by weight, of boron and free carbon as residual sintering aids. The silicon carbide of the final composite material had an average grain size between approximately 10 and 25  $\mu m$ , and the carbon-graphite inclusions had an average grain size between approximately 15 and 125  $\mu m$ .

The densified matrix of this Example also had a microstructure of interconnected pores filled with approximately 14% by weight carbon carbonized from the impregnated phenolic resin. The interconnected pores had an average size of approximately 13  $\mu$ m. The final body had a density of approximately 2.60 gm/cc or 90% of the theoretical density as determined by the rule of mixtures.

Results from wear and other testing of the composite material of the present invention demonstrate that the materials have wear characteristics and thermal shock resistance superior to other silicon carbide materials, and are self-lubricating. In one test, seals made of the composite material of the present invention, as described in the first Example above, were run against mating rings made of reaction-bonded silicon carbide at 300,000 psi-fpm (167 psi x 1802 fpm) PV in 107 psi of deionized water for 50 hours. Although the faces of the reaction-bonded silicon carbide mating rings exhibited an average wear of 0.00002 in., the faces of the seals of the material of the present invention showed no measurable wear. A representative

PCT/US95/00681

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portion of one of the faces of the reaction-bonded silicon carbide rings after testing is shown in Fig. 4A, and a representative portion of one of the faces of the seals of the present invention material after testing is shown in Fig. 4B.

In another test, the self lubricating and dry running properties of the material of the present invention against itself were investigated. A seal and a mating ring were fabricated from the composite material of the present invention as described in the first Example, except that the phenolic resin impregnated into the pores of the matrix was not carbonized. Portions of the material of the seal, which are representative of both the seal and ring materials, are shown in Figs. 5A and 5B. The seal and mating ring were then run against each other at 104,000 psi-fpm (57 psi x 1820 fpm) PV in of deionized water for one hour. The water was then removed from the system, and the seal and mating ring were run dry against each other at 56,000 psi-fpm (31 psi x 1820 The seal and ring ran dry for approximately 45 minutes before the impregnated resin softened and smeared, The faces of the seal and ring after requiring shut-down. shutdown are shown in Figs. 5C and 4D respectively. densified silicon carbide matrix, carbon-coated graphite inclusions and resin-impregnated interconnected pores can clearly be seen in Figs. 5A-5D.

Using a 48 hour coking cycle, the seal and mating ring were then successfully coked to carbonized the impregnated resin without destroying the parts. The material of the mating ring after coking is shown in Fig. 5E, which is also representative of material of the seal after coking. The interconnected pores containing the carbon carbonized from the impregnated resin can easily be seen in Fig. 5E.

The parts were then run again against themselves for one hour in deionized water at 104,000 psi-fpm PV, and the water was once again removed. The parts successfully ran on themselves dry at 56,000 PV for one hour, at which time the

- 18 -

test was shut down to evaluate the faces. Although there was some wear on the faces after running for one hour dry the material of the present invention clearly demonstrated that it could survive dry running conditions.

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To further evaluate the self-lubricating properties of the material of the present invention, seals made of the material of the present invention, as described in the first Example above, were run against mating rings made of conventional self-sintered silicon carbide at 400,000 psi fpm (221 psi x 1820 fpm) PV in 168 psi of deionized water. After 30 minutes, the water was removed from the system and the faces were run dry. After only seconds, the test rig had to be quickly shut down because, as shown in Fig. 6C, the conventional self-sintered silicon carbide ring disintegrated. Figs. 6A and 6B show the faces of the conventional self-sintered silicon carbide mating ring and the seal of the present invention respectively before dry running. Figs. 6C and 6D show the faces of the conventional self-sintered silicon carbide ring and the seal of the present invention respectively after shut down. Figs. 6C and 6D clearly show that while the conventional self-sintered silicon carbide ring disintegrated, the seal of the present invention survived the dry running test with little or no damage.

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The above description is not intended to limit the present invention. Alternative embodiments are possible. Accordingly, the scope of the invention should be determined by the appended claims and their legal equivalents, not by the embodiments described and shown above.

- 19 -

### CLAIMS

I claim:

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- 1. A self-sintered silicon carbide composite material, comprising:
  - a densified matrix comprising:
- (a) about 50 to about 95%, by weight of the matrix, of silicon carbide; and
- (b) about 5.0 to about 50%, by weight of the matrix, of inclusions of a carbon material; and

the matrix having a microstructure of interconnected pores and the inclusions of a carbon material having an average grain size greater than the average grain size of the silicon carbide.

- 2. The silicon carbide composite material of claim 1, wherein the silicon carbide comprises alpha silicon carbide or beta silicon carbide, or a mixture thereof.
- 3. The silicon carbide composite material of claim 1, wherein the inclusions of a carbon material comprise carbon, graphite or carbon-coated graphite, or mixtures thereof.
- 4. The silicon carbide material of claim 1, the matrix further including about 0.3 to about 5.0%, by weight of the matrix, of residual sintering aids.
  - 5. The silicon carbide material of claim 5, wherein the residual sintering aids comprise aluminum, beryllium oxide, boron, boron carbide or carbon, or mixtures thereof.
  - 6. The silicon carbide composite material of claim 1, further including an additional material impregnated into said pores.

- 20 -

- 7. The silicon carbide composite material of claim 6, wherein the additional material comprises carbon, resin, metal or TEFLON, or mixtures thereof.
- 8. The silicon carbide composite material of claim 7, wherein the resin is a carbonaceous resin.
- 9. The silicon carbide composite material of claim 8, wherein the carbonaceous resin comprises phenolic resin, petroleum pitch or coal tar pitch, or mixtures thereof.
- 10. The silicon carbide composite material of claim 7, wherein the metal comprises nickel, silver or antimony, or mixtures thereof.

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- 11. The silicon carbide composite material of claim 6, wherein the additional material comprises carbon carbonized from a carbon precursor.
- 12. The silicon carbide composite material of claim 11, wherein the carbon precursor comprises a carbonaceous resin.
  - 13. The silicon carbide composite material of claim 12, wherein the carbonaceous resin comprises phenolic resin, petroleum pitch or coal tar pitch, or mixtures thereof.
- 20 14. The silicon carbide composite material of claim 1, the densified matrix comprising:
  - (a) about 80 to about 90%, by weight of the matrix, of silicon carbide;
  - (b) about 10 to about 20%, by weight of the matrix, of inclusions of carbon, graphite or carbon-coated graphite, or mixtures thereof; and
  - (c) about 0.3 to about 3.0%, by weight of the matrix, of boron and carbon as residual sintering aids, and

wherein the silicon carbide has an average grain size between about 10 and about  $25\mu m$  and the inclusions have an average grain size between about 75 and about  $125\mu m$ .

- 15. The silicon carbide material of claim 14, wherein the silicon carbide comprises alpha silicon carbide or beta silicon carbide, or a mixture thereof.
- 16. The silicon carbide composite material of claim 14, further including an additional material impregnated into said pores.
- 17. The silicon carbide composite material of claim 16, wherein the additional material comprises carbon, resin, metal or TEFLON, or mixtures thereof.

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- 18. The silicon carbide composite material of claim 17 wherein the resin is a carbonaceous resin.
- 19. The silicon carbide composite material of claim 18, wherein the carbonaceous resin comprises phenolic resin, petroleum pitch or coal tar pitch, or mixtures thereof.
- 20. The silicon carbide composite material of claim 17, wherein the metal comprises nickel, silver or antimony, or mixtures thereof.
  - 21. The silicon carbide composite material of claim 16, wherein the additional material comprises carbon carbonized from a carbon precursor.
  - 22. The silicon carbide composite material of claim 21, wherein the carbon precursor comprises a carbonaceous resin.

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- 23. The silicon carbide composite material of claim 22, wherein the carbonaceous resin comprises phenolic resin, petroleum pitch or coal tar pitch, or mixtures thereof.
- 24. A self-sintered silicon carbide composite material, comprising:
  - a densified matrix comprising:
- (a) about 65 to about 80%, by weight of the total material, of silicon carbide;
- (b) about 10 to about 18%, by weight of the total material, of inclusions of carbon, graphite or carbon-coated graphite, or mixtures thereof; and
  - (c) about 0.3 to about 3.0%, by weight of the total material, of boron and carbon as residual sintering aids; and
  - wherein the matrix has a microstructure of interconnected pores and the average grain size of the silicon carbide is between about 10 and about  $25\mu m$  and the average grain size of the inclusions is between about 75 and about  $125\mu m$ ; and
  - the material further including about 10 to about 17%, by weight of the total material, of carbon impregnated into said pores.
  - 25. A raw batch for producing a self-sintered silicon carbide composite material, comprising:
    - (a) about 50 to about 90% by weight silicon carbide;
  - (b) about 5.0 to about 50% graphite particles coated with a carbon precursor;
    - (c) about 2 to about 20% sintering aids; and
- (d) about 0.75 to about 15.0% by weight temporary
  30 filler.
  - 26. The raw batch of claim 25, wherein the silicon carbide comprises alpha silicon carbide or beta silicon carbide, or a mixture thereof.

- 23 -

- 27. The raw batch of claim 25, wherein the carbon precursor comprises phenolic resin, furfuryl alcohol, polyester, petroleum pitch or coal tar pitch, or mixtures thereof.
- 28. The raw batch of claim 25, wherein the sintering aids comprise aluminum, beryllium oxide, a boron source or a carbon source, or mixtures thereof.

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- 29. The raw batch of claim 28, wherein the boron source comprises elemental boron or boron carbide, or a mixture thereof.
- 30. The raw batch of claim 28, wherein the carbon source comprises elemental carbon or a carbonizable organic material, or a mixture thereof.
  - 31. The raw batch of claim 30, wherein the carbonizable organic material comprises phenolic resin, petroleum pitch, coal tar pitch, furfuryl alcohol or a sugar, or mixtures thereof.
- 32. The raw batch of claim 25, wherein the temporary filler comprises an organic material.
- 33. The raw batch of claim 32, wherein the organic material comprises, ground nutshells, wax particles or polypropylene beads, or mixtures thereof.
- 34. The raw batch of claim 25, wherein the temporary filler comprises an inorganic material.
- 35. The raw batch of claim 34, wherein the inorganic material comprises ceramic beads.

- 24 -

- 36. The raw batch of claim 25, further including about 2.0 to about 8.0% by weight temporary binder.
- 37. The raw batch of claim 36, wherein the temporary binder comprises phenolic resin, polyethylene glycol or oleic acid, or a mixture thereof.
- 38. The raw batch of claim 25, comprising:

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- (a) about 60 to about 80% by weight silicon carbide;
- (b) about 10 to about 20% by weight graphite particles coated with a carbon precursor;
- (c) about 5.0 to about 15.0% by weight temporary filler;
  - (d) about 2.0 to about 8.0% by weight temporary binder;
- (e) about 1.0 to about 5.0% by weight carbonizable organic material; and
- (f) about 0.3 to about 1.5% by weight of a boron source.
  - 39. The raw batch of claim 38, wherein the silicon carbide is alpha silicon carbide or beta silicon carbide, or a mixture thereof.
- 40. The raw batch of claim 38, wherein the carbon precursor comprises phenolic resin, furfuryl alcohol, polyester, petroleum pitch or coal tar pitch, or mixtures thereof.
  - 41. The raw batch of claim 38, wherein the temporary filler comprises, ground nutshells, wax particles or polypropylene beads, or mixtures thereof.
  - 42. The raw batch of claim 38, wherein the temporary binder comprises phenolic resin, polyethylene glycol or oleic acid, or a mixture thereof.

- 25 -

- 43. The raw batch of claim 38, wherein the carbonizable organic material is phenolic resin, petroleum pitch, coal tar pitch, furfuryl alcohol or a sugar, or mixtures thereof.
- 44. The raw batch of claim 38, wherein the boron source comprises elemental boron or boron carbide, or a mixture thereof.
- 45. The raw batch of claim 25, comprising:

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- (a) about 60 to about 80% by weight silicon carbide;
- (b) about 10 to about 20% by weight graphite particles coated with phenolic resin;
- (c) about 5.0 to about 15.0% by weight polypropylene beads;
- (d) about 2.0 to about 8.0% by weight polyethylene glycol;
- (e) about 1.0 to about 5.0% by weight additional phenolic resin; and
  - (f) about 0.3 to about 1.5% by weight boron carbide.
- 46. A process for producing a self-sintered silicon carbide composite material, comprising the steps of:
- (a) mixing in an inert solvent a raw batch, comprising:(i) about 50 to about 90% by weight silicon carbide;
- (ii) about 5.0 to about 50% graphite particles
  coated with a carbon precursor;
- (iii) about 2 to about 20% sintering aids; and
  (iv) about 0.75 to about 15.0% by weight
- (iv) about 0.75 to about 15.0% by weight temporary filler;
- (b) drying the raw batch to evaporate the inert solvent;
  - (c) shaping the raw batch into a shaped body;
- (d) heating the shaped body at a temperature sufficient to carbonize the carbon precursor and volatilize the

temporary filler to form a matrix having interconnected pores; and

- (e) sintering the shaped body to densify the matrix.
- 47. The process of claim 46, wherein the silicon carbide comprises alpha silicon carbide or beta silicon carbide, or a mixture thereof.
- 48. The process of claim 46, wherein the carbon precursor comprises phenolic resin, furfuryl alcohol, polyester, petroleum pitch or coal tar pitch, or mixtures thereof.
- 49. The process of claim 46, wherein the sintering aids comprise aluminum, beryllium oxide, a boron source or a carbon source, or mixtures thereof.

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- 50. The process of claim 49, wherein the boron source comprises elemental boron or boron carbide, or a mixture thereof.
- 51. The process of claim 49, wherein the carbon source comprises elemental carbon or a carbonizable organic material, or a mixture thereof.
- 52. The process of claim 51, wherein the carbonizable organic material comprises phenolic resin, petroleum pitch, coal tar pitch, furfuryl alcohol or a sugar, or mixtures thereof.
  - 53. The process of claim 46, wherein the temporary filler comprises an organic material.
- 54. The process of claim 53, wherein the organic material comprises, ground nutshells, wax particles or polypropylene beads, or mixtures thereof.

- 27 -

- 55. The process of claim 46, wherein the temporary filler comprises an inorganic material.
- 56. The process of claim 55, wherein the inorganic material comprises ceramic beads.
- 5 57. The process of claim 46, wherein the raw batch further includes about 0.3 to about 1.0% by weight of a suspension agent.
  - 58. The process of claim 57, wherein the suspension agent includes xanthan gum.
- 59. The process of claim 46, wherein the raw batch further includes 2.0 to about 8.0% by weight of a temporary binder.
  - 60. The process of claim 59, wherein the temporary binder comprises phenolic resin, polyethylene glycol or oleic acid, or a mixture thereof.
- 61. The process of claim 46, wherein the shaping step includes pressing the raw batch at pressures of about 3 to about 9 tons/in<sup>2</sup> to obtain a green density of about 1.60 to about 1.90 gm/cc.
- 62. The process of claim 46, wherein the heating step includes heating the shaped body at a temperature above 800°C.

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63. The process of claim 46, wherein the sintering step includes heating the shaped body at a temperature of about 1900 to about 2500°C in a substantially inert atmosphere at or below atmospheric pressure.

- 28 -

- 64. The process of claim 46, including the further step of impregnating the pores of the matrix with an additional material.
- 65. The process of claim 64, wherein the additional material comprises carbon, resin, metal or TEFLON, or mixtures thereof.

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- 66. The process of claim 64, wherein the impregnation step comprises the steps of:
- (a) impregnating the pores of the matrix with a carbonaceous resin; and
  - (b) carbonizing the carbonaceous resin.
- 67. The process of claim 66, wherein the carbonaceous resin comprises phenolic resin, petroleum pitch or coal tar pitch, or mixtures thereof.
- 68. The process of claim 65, wherein the metal comprises nickel, silver or antimony, or mixtures thereof.
  - 69. The process of claim 46, wherein the raw batch comprises:
    - (i) about 60 to about 80% by weight silicon carbide;
  - (ii) about 10 to about 20% by weight graphite particles coated with a carbon precursor;
  - (iii) about 5.0 to about 15.0% by weight temporary
    filler;
  - (iv) about 2.0 to about 8.0% by weight temporary binder;
  - (v) about 1.0 to about 5.0% by weight carbonizable organic material; and
  - (vi) about 0.3 to about 1.5% by weight of a boron source.

- 70. The process of claim 69, wherein the silicon carbide is alpha silicon carbide or beta silicon carbide, or a mixture thereof.
- 71. The process of claim 69, wherein the carbon precursor comprises phenolic resin, furfuryl alcohol, polyester, petroleum pitch or coal tar pitch, or mixtures thereof.

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- 72. The process of claim 69, wherein the temporary filler comprises, ground nutshells, wax particles or polypropylene beads, or mixtures thereof.
- 73. The process of claim 69, wherein the temporary binder comprises phenolic resin, polyethylene glycol or oleic acid, or a mixture thereof.
  - 74. The process of claim 69, wherein the carbonizable organic material is phenolic resin, petroleum pitch, coal tar pitch, furfuryl alcohol or a sugar, or mixtures thereof.
  - 75. The process of claim 69, wherein the boron source comprises elemental boron or boron carbide, or a mixture thereof.
- 76. The process of claim 69, wherein the raw batch further includes about 0.3 to about 1.0% by weight of a suspension agent.
  - 77. The process of claim 76, wherein the suspension agent includes xanthan gum.
- 78. The process of claim 69, wherein the shaping step includes pressing the raw batch a green density of about 1.60 to about 1.90 gm/cc.

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- 79. The process of claim 69, wherein the heating step includes heating the shaped body at a temperature above 800°C.
- 80. The process of claim 69, wherein the sintering step includes heating the shaped body at a temperature ranging from about 1900 to 2500°C in a substantially inert atmosphere at or below atmospheric pressure.
- 81. The process of claim 69, including the further step of impregnating the pores of the matrix with an additional material.
  - 82. The process of claim 81, wherein the additional material comprises carbon, resin, metal or TEFLON, or mixtures thereof.
  - 83. The process of claim 81, wherein the impregnation step comprises the steps of:
    - (a) impregnating the pores of the matrix with a carbonaceous resin; and
      - (b) carbonizing the carbonaceous resin.

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- 20 comprises phenolic resin, petroleum pitch or coal tar pitch, or mixtures thereof.
  - 85. The process of claim 82, wherein the metal comprises nickel, silver or antimony, or mixtures thereof.
  - 86. The process of claim 46, comprising the steps:
  - (a) mixing in an inert solvent a raw batch, comprising:(i) about 60 to about 80% by weight silicon carbide;
  - (ii) about 10 to about 20% by weight graphite particles coated with phenolic resin;

PCT/US95/00681

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- (iii) about 5.0 to about 15.0% by weight
  polypropylene beads;
- (iv) about 2.0 to about 8.0% by weight polyethylene glycol;
- (v) about 1.0 to about 5.0% by weight additional phenolic resin; and
- (vi) about 0.3 to about 1.5% by weight boron
  carbide; (vii) about 0.3 to about 1.0%, by weight
  xanthan gum; and
- (b) drying the raw batch to evaporate the inert solvent;
- (c) pressing the raw batch a green density of about 1.60 to about 1.90 gm/cc;
- (d) heating the shaped body at a temperature above 800°C to carbonize the carbon precursor and volatilize the temporary filler to form a matrix having interconnected pores; and
- (e) sintering the shaped body at a temperature of about 1900 to about 2500°C in a substantially inert atmosphere at or below atmospheric pressure to densify the matrix to a density of about 2.10 to about 2.60 gm/cc.
- 87. The process of claim 86, wherein the silicon carbide comprises alpha silicon carbide or beta silicon carbide, or a mixture thereof.
- 88. The process of claim 86, including the further step of impregnating the pores of the matrix with phenolic resin and carbonizing the impregnated resin.
  - 89. The silicon carbide composite material of claim 1, wherein the silicon carbide has an average grain size between about 10 and about 25 $\mu m$  and the inclusions of a carbide material have an average grain size between about 75 and about 125 $\mu m$ .

- 32 -

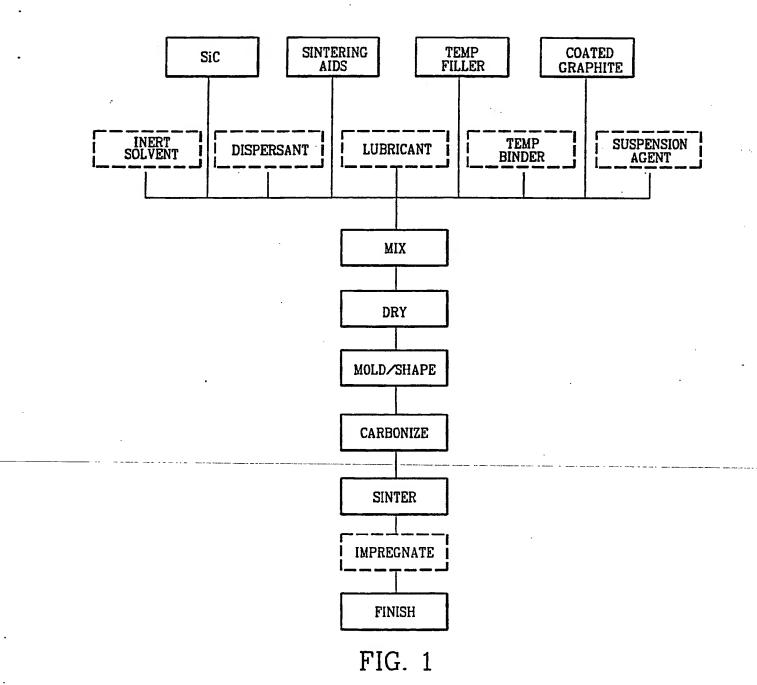
- 90. The silicon carbide composite material of claim 1, where the matrix has a density of at least about 2.10 gm/cc.
- 91. The silicon carbide composite material of claim 90, wherein the matrix has a density between about 2.20 and 2.60 gm/cc.
- 92. The silicon carbide composite material of claim 1, wherein the matrix has a density of at least about 73% of the theoretical density as determined by the rule of mixtures.
- 93. The silicon carbide composite material of claim 1, wherein the interconnected pores have an average size of at least about  $10\mu m$ .

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- 94. The silicon carbide composite material of claim 1, wherein the matrix has a porosity of about 8 to about 30%.
- 95. The silicon carbide composite material of claim 6, wherein the composite material has a density between about 2.55 and about 2.65 gm/cc.
  - 96. The silicon carbide composite material of claim 7, wherein the additional material comprises about 10 to about 17%, by weight of the total material, of carbon.
- 97. The silicon carbide composite material of claim 14, wherein the matrix has a density between about 2.20 and 2.60 gm/cc.
  - 98. The silicon carbide composite material of claim 97, wherein the matrix has a density between about 2.35 and 2.45 gm/cc.

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- 99. The silicon carbide composite material of claim 14, wherein the interconnected pores have an average size of at least about  $10\mu m$ .
- 100. The silicon carbide composite material of claim 14, wherein the matrix has a porosity of about 8 to about 30%.
- 101. The silicon carbide composite material of claim 16, wherein the composite material has a density between about 2.55 and 2.65 gm/cc.
- 102. The silicon carbide composite material of claim 17, wherein the additional material comprises about 10 to about 17%, by weight of the total material, of carbon.
  - 103. The silicon carbide composite material of claim 24, wherein the interconnected pores have an average size of at least about  $10\mu m$ .
- 104. The silicon carbide composite material of claim 24, wherein the composite material has a density between about 2.55 and about 2.65 gm/cc.



**SUBSTITUTE SHEET (RULE 26)** 

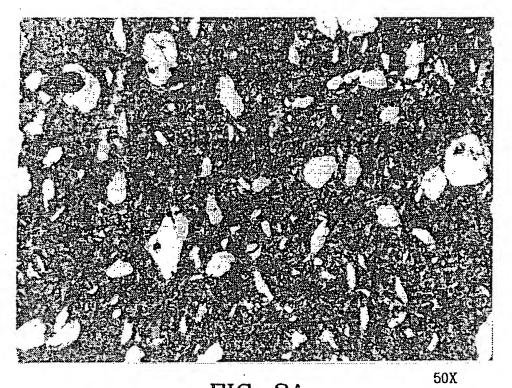


FIG. 2A

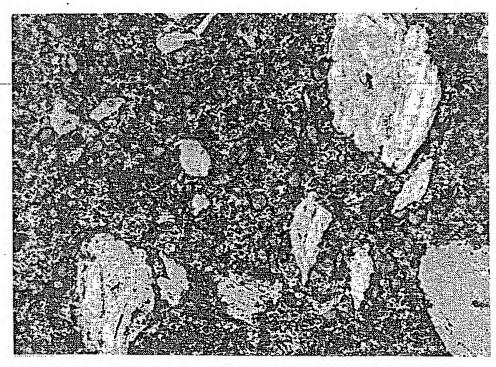
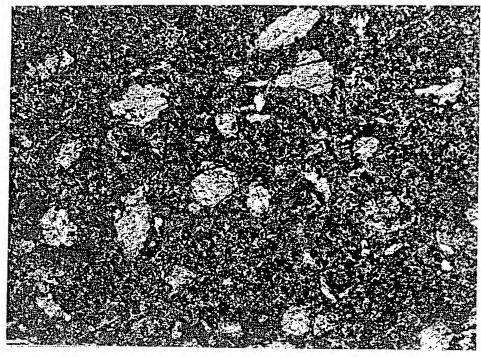


FIG. 2B

X00X

3/9

43X 3tsi

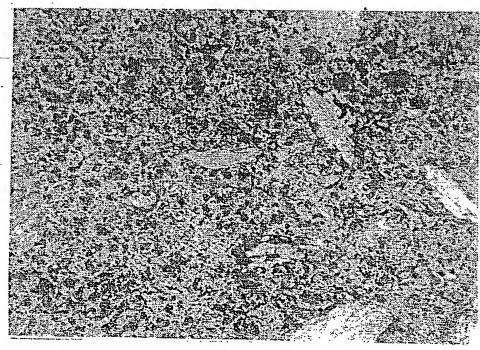


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FIG. 3A

50X

43X 3tsi

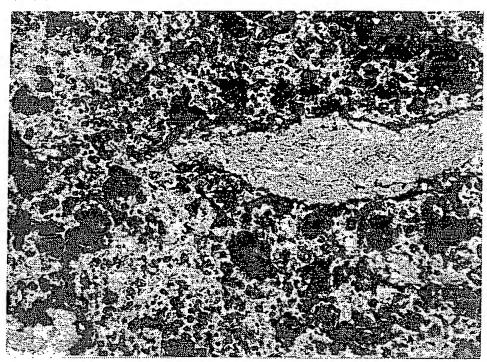


MP 2-4-94

FIG. 3B

100X

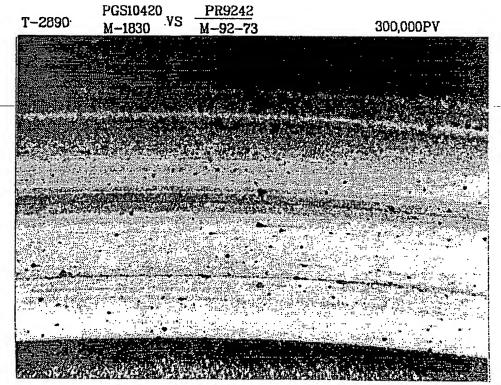




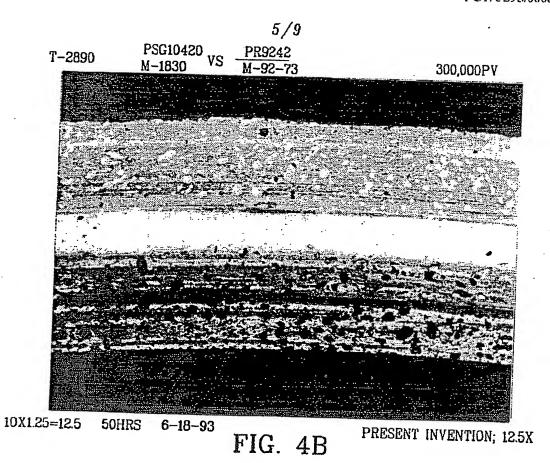
MP 2-4-94

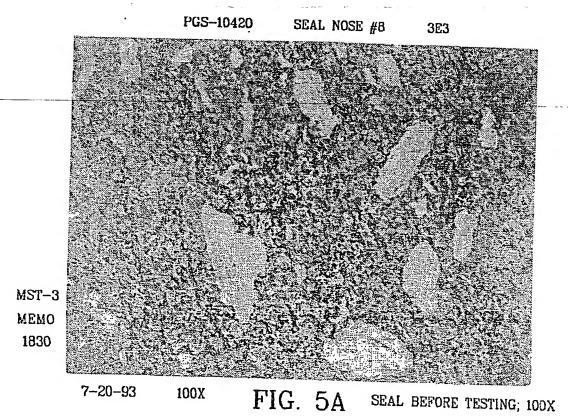
FIG. 3C

400X

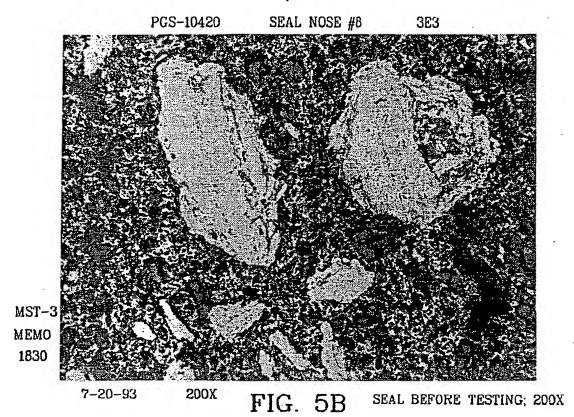


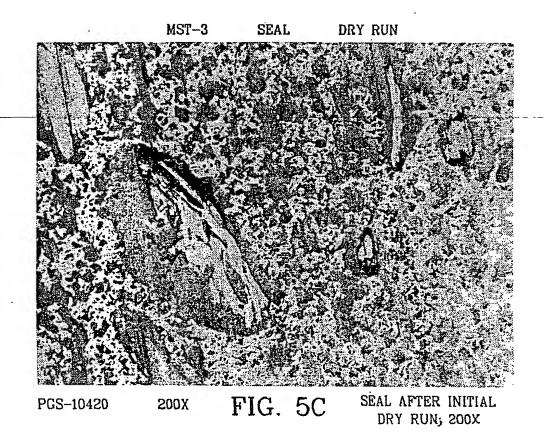
10X1.25=12.5 50HRS 6-18-93 FIG. 4A REACTION-BONDED SIC; 12.5X

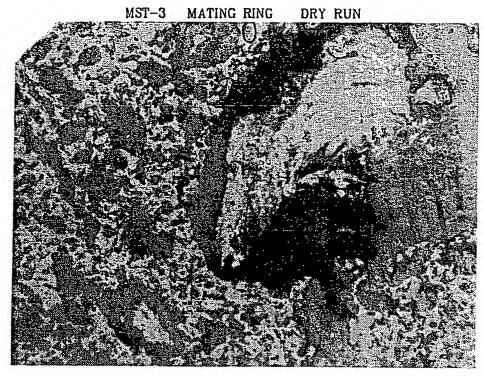




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PGS-10420 400X

FIG. 5D

MATING RING AFTER INITIAL DRY RUN; 400X

MST-3

MATING RING

19X

MEMO #1874



400X (COKED)

MP 9-28-93

FIG. 5E

MATING RING AFTER COKING; 400X

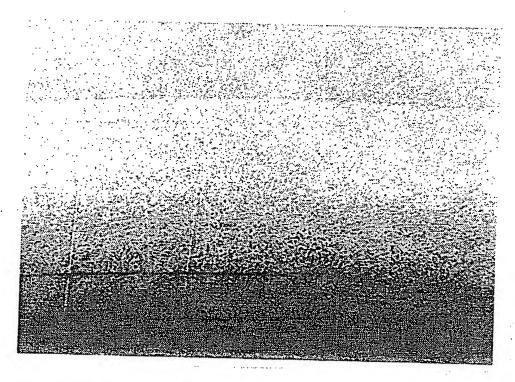


FIG. 6A CONVENTIONAL SELF-SINTERED SIC BEFORE DRY RUN; 14X

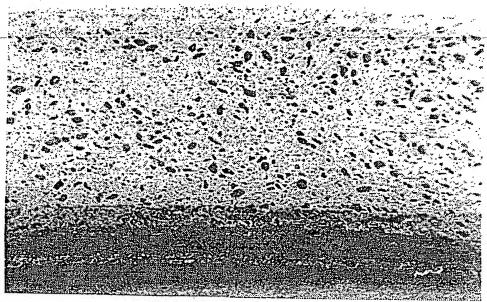


FIG. 6B PRESENT INVENTION BEFORE DRY RUN; 14X

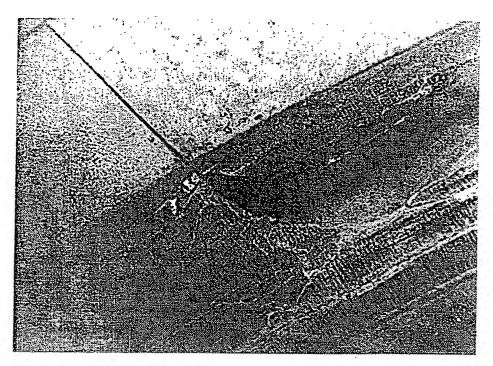


FIG. 6C CONVENTIONAL SELF-SINTERED SIC AFTER DRY RUN; 14X

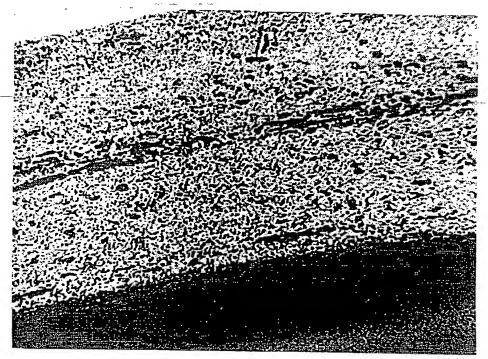


FIG. 6D PRESENT INVENTION AFTER DRY RUN; 14X

#### INTERNATIONAL SEARCH REPORT

International application No. PCT/US95/00681

A. CLASSIFICATION OF SUBJECT MATTER	<del></del>	
The second of Sebject MATTER		
IPC(6) :C04B 35/52, 524, 56, 56 5 C04B 38/00; F27B 9/10 US CL :501/90, 88, 89, 91, 100, 126, 127, 80; 428/375; 264 59, 66		
According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed by classification symbols)		
U.S. : Please See Extra Sheet.		
o.o I lease see Extra Sheet.		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
to the fields searched		
Electronic data base consulted during the international sea	arch (name of data base and subsequential)	
	the tracticable and, where practicable	e, search terms used)
	8	
C. DOCUMENTS CONSIDERED TO BE RELEVA	ANT	
Category* Citation of document, with indication		<del> </del>
Chairon of document, with indication, w	here appropriate, of the relevant passages	Relevant to claim No.
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line 49-col. 6 line 65, col. 8 li	line 49-col. 6 line 65, col. 8 line 23-col. 10 line 39.	
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Further documents are listed in the continuation of E	Box C. See patent family annex.	
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A document defining the general state of the art which is not considered date and not in conflict with the application but cited to understand the		
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document published prior to the international filing date but later than the priority date claimed document member of the same patent family		
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#### INTERNATIONAL SEARCH REPORT

International application No. PCT/US95/00681

B. FIELDS SEARCHED
Minimum documentation searched
Classification System: U.S.
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LERNER AND GREENBERG P.A.
P.O. BOX 2480
HOLLYWOOD, FLORIDA 33022
TEL. (954) 925-1100

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